

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4402158
<b>Application Number:</b>	10047607
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5741
<b>Title of Invention:</b>	Systems and methods for electronic trading that permit principal/broker trading
<b>First Named Inventor/Applicant Name:</b>	Andrew C. Gilbert
<b>Customer Number:</b>	63710
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<b>Filer Authorized By:</b>	Mark Adam Miller
<b>Attorney Docket Number:</b>	01-1034 CF/34
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	7791
Deposit Account	503938
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement Letter	01-1034_081205_IDS.pdf	24643	no	2
			79814deca3d84d4d8bda7c7c7813cc403a6fa8726		
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Filed (SB/08)	01-1034_081205_1449.pdf	31485	no	2
			d84d48b419c57b377d611ca2d08517615a119		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	Foreign Reference	WO-01-27836_NPL_K.pdf	2823514	no	81
			cb1765d3c45f6f91c011ee7d879c5c2a8ba5af		
Warnings:					
Information:					
4	Foreign Reference	EP1100030A1_010516.pdf	1230198	no	27
			2c18f6f490ab4a8c5d13d91818827b6b1f6d7d		
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5	Foreign Reference	EP0399850A2_901128.pdf	1719133	no	30
			218b1ee291962362a8791d51e4d37d86bd911		
Warnings:					
Information:					
6	Foreign Reference	EP0407026A2_910109.pdf	1385773	no	28
			64acc5e167e187454d44dbca341ee1fdae06c2		
Warnings:					
Information:					
7	Foreign Reference	EP0411748A2_910206.pdf	2697380	no	50
			3d77d752d702d41be51e427d82e9ce631f880075		
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Information:					

8	Foreign Reference	EP0873549B1_010808.pdf	1668720 4b3116e41e63c080740b0d10b65c95de1 2d214	no	35
<b>Warnings:</b>					
<b>Information:</b>					
9	NPL Documents	Letter_of_Albert_P_Moras_Exh ibits_020404.pdf	1251487 25325efbc589b44c9021a4981c1a853927 54933	no	16
<b>Warnings:</b>					
<b>Information:</b>					
10	NPL Documents	Money_Match_199008_.pdf	3294042 4d1eeb2989e1eb066c151ceff805c7bec2 32186	no	87
<b>Warnings:</b>					
<b>Information:</b>					
11	NPL Documents	Assorted_Documents_from_Bo ndpage_copyright2001.pdf	376683 3a82c2d8024ac136c120518ce9f88baeef 475	no	4
<b>Warnings:</b>					
<b>Information:</b>					
12	NPL Documents	Assorted_Documents_from_Q V_Trading_Systems_undated. pdf	1069650 35f135ac6d119400d88e5a7aa0c28518ce15 97e1	no	10
<b>Warnings:</b>					
<b>Information:</b>					
13	NPL Documents	PCT_Search_Report_NPL_4152 5.pdf	211249 a8e9fabab4a42c4fa381306d4bd1ce89d 394a	no	5
<b>Warnings:</b>					
<b>Information:</b>					
14	Fee Worksheet (PTO-06)	fee-info.pdf	30072 177005a8e609fcee99b1375777b56519a8f 451c	no	2
<b>Warnings:</b>					
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<b>Total Files Size (in bytes):</b>			17814029		

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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